

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/655,409 Confirmation No.: 6575
Applicant: Timothy M. Keiser et al.
Title: COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
Filed: September 4, 2003
Art Unit: 3691
Examiner: Clement Graham
Atty. Docket: 98-HSX001-C5
Customer No. 63710

TRANSMITTAL MAKING EMAIL COMMUNICATIONS OF RECORD

Recognizing that Internet communications are not secure, Applicant previously authorized the USPTO to communicate with any authorized representative concerning any subject matter of this application by electronic mail. Applicant previously pointed out that Applicant understands that a copy of these communications will be made of record in the application file of the present application.

Attached as an Appendix, are email communications, including all attachments, between the undersigned and the Examiner(s) directly relating to prosecution of the present application. By submitting these email communications, Applicant in no way waives, e.g., claims of privilege with respect to email message(s), *if any*, that may have, e.g., been distributed internally and confidentially by Applicants and, e.g., not sent to an addressee at the United States Patent and Trademark Office, but that might include, e.g., some or all of any of the attached email message(s) in the Appendix.

Respectfully submitted,

Dated: February 7, 2011

By: /Christopher D. Agnew/
Christopher D. Agnew
Reg. No. 43,464
cagnew@cantor.com
(857) 413-2050
Customer No: 63710